

Attorney Docket: 25602
Date: June 2, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Art Unit: 2121

KROLL

Examiner: BROWN JR., Nathan H.

Serial No.: 10/627,880

Confirmation No.: 3641

Filed: July 28, 2003

Title: **METHOD AND SYSTEM FOR DETERMINING WHETHER A SITUATION
MEETS PREDETERMINED CRITERIA UPON OCCURANCE OF AN EVENT**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

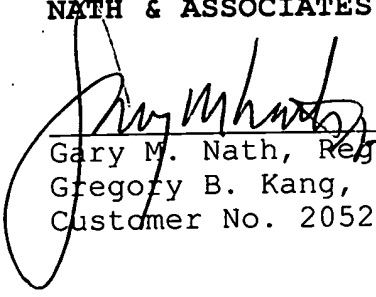
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

1. Transmittal Letter;
2. Supplemental Information Disclosure Statement;
3. PTO Form-1449 with 6 cited References;
4. Copy of European Search Report dated April 5, 2006; and
5. Copies of 3 of the 6 references cited.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,
NATH & ASSOCIATES PLLC



Gary M. Nath, Reg. No. 26,965
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Customer No. 20529

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KROLL

Application No. 10/627,880

Filed: July 28, 2003



Group Art Unit: 2121

Examiner: BROWN JR, Nathan H

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For: **METHOD AND SYSTEM FOR DETERMINING WHETHER A SITUATION MEETS
PREDETERMINED CRITERIA UPON OCCURANCE OF AN EVENT**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. § 1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

[] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

[X] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

The enclosed statement is accompanied by [check one]:

[X] i. a certification in part (e) below as specified in 37 C.F.R. § 1.97(e), or

[] ii. a check in the amount required by 37 C.F.R. § 1.17(p).

[] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, but before payment of the issue fee.

[] Certification report(e) below; and

[] a check in the amount as required by § 1.17(p).

[] d. The enclosed statement is being filed pursuant to 37 C.F.R. § 1.97(i), for placement in the file.

e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[X] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

[] Appropriate certification is attached.

[X] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

[X] g. Copies of the documents are attached herewith with a completed Form PTO-1449.

And/or

[] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

or

[X] Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,
NATH & ASSOCIATES

By: 

Gary M. Nath
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Date: June 2, 2006

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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket
25602Serial No.
10/627,880Applicant
KROLLFiling Date
07/28/2003Group Art Unit
2121

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	A1	2001/0047336	November 29, 2001	Maycock, Sidney M. Jr.; et al.	705	44	April 3, 2001
	A2	6,038,552	March 14, 2000	Fleischl, et al.	705	44	December 10, 1997
	A3	6,208,720	March 27, 2001	Curtis, et al.	379	114.14	April 23, 1998
	A4						
	A5						
	A6						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	A7	WO 99/13427 A2	March 18, 1999	WO			n/a
	A8	WO 00/57374 A1	September 28, 2000	WO			n/a
	A9	JP 08 221662	August 30, 1996	JP			n/a
	A10						
	A11						
	A12						
	A13						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	A14		
	A15		
	A16		
	A17		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.
 Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to Applicant.